What is Research? (Talk II)

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Outline of Talk I

- Why research?
- What is research?
- Where do good ideas come from?
- The process of research
- Richard Hamming on research ("You and Your Research")



Outline of Talk II

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- How to write a good research paper
- So you want to do Ph.D.?



- Suggestions from an idealistic view point
- Does not provide information about the formal procedures or rules of Ph.D.



Part 1

Writing A Good Research Paper

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So You Want to Write A Good Paper?

- The goal of a paper is not merely to report the outcome
- Writing good papers is important for your research
 - For your understanding and shaping your ideas
 - For communicating your ideas to others
 - For getting feedback from others

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A paper you would be proud of even after a decade or so!



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 - For getting feedback from others
- A research paper is a public document
 - Private knowledge dies an untimely death
 - Knowledge made public lives on

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Why Should You Care About Good Writing?

- A paper is a mirror of your ideas
- "You don't know something unless you know how to express it"
 - Writing helps you understand your ideas better
 - Writing helps you refine your ideas: Write, read, revise. Repeat as long as you can
 - Writing is a creative process and a big source of satisfaction



Our Focus

- Significant distinction between
 - How to write a good paper?
 - How does a good paper look like?
- Process of writing Vs. Product of writing



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- We focus on the product rather than the process
- Assumptions:
 - Process is goal oriented. Once goals are clear, process is clear
 - ▶ Repeat: Write, read, review, refine, revise



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 - ▶ Repeat: Write, read, review, refine, revise
- Candid confession by PC members:

"Most papers are rejected not because they do not have interesting ideas but because they are ill-structured".



Does a research paper describe

- A software?
- Design of a software?
- A piece of hardware?
- A theorem?
- A proof?
- Empirical measurements?
- . . .



Does a research paper describe

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- Empirical measurements?
- . . .

A research paper describes an idea!



- Cannot be a complete description of work (process/product/modelling/reasoning/postulate/evidence) Must omit many details
- Should describe a logically complete idea Or a collection of logically complete related ideas
- Must embody a running theme that forms the essence of an idea
- This theme must be explicated in the paper



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Right choice of form and content is important



Net information content of your paper



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$\Delta > 0$	
$\Delta = 0$	
$\Delta < 0$	



Net information content of your paper

$\Delta > 0$	Your paper makes research contributions
$\Delta = 0$	
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Net information content of your paper

 $\Delta=$ Information in your paper - Relevant Information in references

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How to read a paper?



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Ingredients of Good Research

• Innovation



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Ingredients of Good Research

- Innovation
- Aesthetics



Ingredients of Good Research

- Innovation
- Aesthetics
- Other important aspects :
 - Completeness
 - Rigour
 - Empirical demonstration
 - Effective communication



Ingredients of Good Survey

• Have you identified all key ideas?



Ingredients of Good Survey

- Have you identified all key ideas?
- Have you distilled the essence of key ideas?
 - Why are they important?
 - Why should they be considered key ideas?

Ingredients of Good Survey

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- Have you distilled the essence of key ideas?
 - Why are they important?
 - Why should they be considered key ideas?
- Have you illustrated key ideas?
 - New examples
 - New pictures
 - New applications
 - Better explanations



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- Impossible to convey good content without using good form



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- Three orthogonal dimensions of good form



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We discuss this

Our main focus



Intuition and Rigour in a Paper

- Ideas should be presented at two levels:
 - Intuition
 - The key idea
 - Distilling the idea to its essence
 - Emphasizing the most important aspects (ignoring some details)
 - Using representative examples (even if all aspects are not illustrated)
 - Rigour

Ensuring that there is no possibility of a different interpretation

- Plugging all the holes through formalism or reasoning (Corner cases, boundary conditions, exceptions)
- Presenting convincing empirical evidence
- Description of ideas at these two levels should be interleaved



Intuition and Rigour in a Paper



Intuition gives hints about formalism

Allow us to create and understand the right formalism

• Rigour establishes the correctness of the intuition

Allows us to plug the holes and generalize the ideas

• Intuition and rigour should balance and lift each other

Credit: John Denker (http://www.av8n.com/physics/thinking.htm#advice-paper)


- Evolution in discovery is different from evolution in presentation
- Evolution in presentation
 - Perspective
 - Problem Definition
 - Key Ideas
 - Key contributions
 - Development of the key ideas
 - Experiments/Measurements/Results
 - Conclusions



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Presenting Evolution of Ideas

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Why is this an important problem?

Key Ideas

Basis of the hope of a solution, Approach of the solution,

- Key contributions
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Your claim to fame

- Development of the key ideas
 - You have succeeded if readers reach here!
- Experiments/Measurements/Results
- Conclusions



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 - Support and elaborate your key contributions
 - Conclusions



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Honest analysis of work done, The Moral of the story



The Structure of a Paper

- Title
- Abstract
- Introduction, Motivation
- Background, Related work
- Main Contents
- Results, Conclusions
- Future Work
- References



How to Write a Good Title?

• Should be precise and inviting



How to Write a Good Title?

- Should be precise and inviting
- Examples of bad titles
 - A Software
 - A theorem and its proof
 - Wireless networks
 - Partial redundancy elimination in presence of critical edges for practical imperative programs with recursion and large number of functions and calls through function pointers



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 - A Software
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 - Partial redundancy elimination in presence of critical edges for practical imperative programs with recursion and large number of functions and calls through function pointers
- Possible good titles
 - ► A software based control system for ...
 - On XYZ theorem
 - Reducing congestion in wireless networks
 - Partial redundancy elimination in extreme situations



- Should be a succinct and stand alone description
 - Reading the rest of the paper should not be necessary to get the gist
 - Self-containment only at a high level of description



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 - A summary is a short matter-of-fact description
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 - A conclusion tells us the moral of the story
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- Must contain a statement that catches attention



Common mistakes in Abstracts

- Too verbose
- Too long
- Too short
- Omitting essential details
- No statement that interests a reader



How About this Abstract?

"We worked in Computer Science. We proved some theorems. Some were big, some were small. Big theorems had big proof, small theorems had small proofs. We tried to connect the proofs to the theorems. Sometimes we succeeded, sometimes we didn't. By then, the time for submission had arrived, so we submitted the paper ..."

M. Leunen and R. Lipton. "How to Have Your Abstract Rejected".





- More details of motivation and problem statement
 - From general to specific
 - Supported by concrete examples, puzzles, mysteries,
 - Contextualizing the problem



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- Importance of the problem
 - Significance of the outcome,
 - Intellectual challenge, other difficulties Why would simple approaches not work?



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- Overview of proposed approach
 - A sketch of main proof, algorithm, key idea
 - Novelty of the proposed approach



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 - A sketch of main proof, algorithm, key idea
 - Novelty of the proposed approach
- Use examples liberally



Why is a good introduction important?

• First chance of making first impression Writing style, overall quality, analytical skills, confidence in ideas



Why is a good introduction important?

- First chance of making first impression Writing style, overall quality, analytical skills, confidence in ideas
- Last chance of making the reader want to read your paper in details



How to Describe Background and Related Work?

- Not just what? but also why?, why not?, how?, how else? etc.
 - Not just a list of summaries
 - Analysis, comparison, strengths, limitations
- Organized by ideas rather than by references



- Answer important questions
 - Questions: Problem, Theorem,
 - Answers: Algorithm/Protocol/Formulation/Proof/Counter example



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- Use examples liberally
- Describe experiments, measurements



• Use formalism but don't hide ideas behind excessive notation



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- Introduce notation, terminologies only where required



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- Example of good use of formalism [Credit: Ashok Srinivasan]
 - Original statement: Assume that the sum of the number of rows and columns of the first matrix is greater than the corresponding sum for the second matrix.



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 - ▶ Revised Statement: Let r_X and c_X denote be the number of rows and columns of matrix X. Given matrices A and B, assume that r_A + c_A > r_B + c_B.

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- Example of bad use of formalism
 - Original Statement: Let c_i(σ) denote the character at position i in the string σ and let l(σ) denote length of σ. Then, l(σ₁) ≤ l(σ₂) and c_i(σ₁) = c_i(σ₂) for 0 ≤ i ≤ l(σ₁).



- Use formalism but don't hide ideas behind excessive notation
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 - ▶ Revised Statement: Let r_X and c_X denote be the number of rows and columns of matrix X. Given matrices A and B, assume that r_A + c_A > r_B + c_B.
- Example of bad use of formalism
 - Original Statement: Let $c_i(\sigma)$ denote the character at position *i* in the string σ and let $l(\sigma)$ denote length of σ . Then, $l(\sigma_1) \leq l(\sigma_2)$ and $c_i(\sigma_1) = c_i(\sigma_2)$ for $0 \leq i \leq l(\sigma_1)$.
 - Revised Statement: σ_1 is a prefix of σ_2 .

Uday Khed
• Not a just summary



- Not a just summary
- Different from abstract and introduction



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- Different from abstract and introduction
- Represents the moral of the story
 - A high level description of the significance of ideas, what they could further lead to
 - Last chance to highlight importance
 - Analysis of strength and limitations
 - What the readers should remember after they have forgotten the details



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 - What the readers should remember after they have forgotten the details
- Brings back the general level
 - \blacktriangleright Abstract \rightarrow Introduction \rightarrow Details: Journey from general to specific
 - \blacktriangleright Details \rightarrow Conclusions: Return journey from specific to general



How to Write References?

- Reference or Bibliography?
 - ▶ References: List of sources that you actually cite in your paper
 - Bibliography: List of all related publications
- Each item in the list must have at least the following fields: Title, Author(s), Journal/Proceedings, Publisher, Year
- URLs don't have a publication date, hence say when accessed it last
- Follow the style specified by the publisher



Elements of Writing

- Be careful about the language: Grammar, sentence formations, spellings, punctuation etc.
- Each paragraph should represent a specific idea
- Smooth transition from
 - One paragraph to the next
 - One sentence to the next

Plenty of help available on Internet





• Write short sentences

Long sentences are difficult to parse and can be ambiguous



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Long sentences are difficult to parse and can be ambiguous

- Be precise
 - The problem stated above is difficult

Difficult for whom? NP-complete? Believed by you? Believed by others? Proved by someone?



• Write short sentences

Long sentences are difficult to parse and can be ambiguous

- Be precise
 - The problem stated above is difficult Difficult for whom? NP-complete? Believed by you? Believed by others? Proved by someone?
- Avoid unnecessary words in a sentence
 - This is a subject which is liked by people This subject is liked by people



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- Avoid unnecessary words in a sentence
 - This is a subject which is liked by people This subject is liked by people
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 - Composition balances the indirection levels of the pivot so that it can be eliminated and the remaining two nodes can be connected to create a new edge.

Composition balances the indirection levels of the pivot to eliminate

it and connect the remaining nodes to create a new edge.

- Avoid pronouns with multiple antecedents
 Take the books from the shelves and dust them with a clean cloth.
 Dust what? Books or shelves?
- Convert nouns to verbs



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• Avoid pronouns with multiple antecedents

Take the books from the shelves and dust them with a clean cloth.

Dust what? Books or shelves?

- Convert nouns to verbs
 - His verticality changed to horizontality He fell down
 - This paragraph provides a conclusion of the description This paragraph concludes the description



• Avoid pronouns with multiple antecedents

Take the books from the shelves and dust them with a clean cloth. Dust what? Books or shelves?

- Convert nouns to verbs
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 - ... recursively performs composition of edges
 - ... recursively composes edges



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- Avoid multiple adjectives



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- Avoid multiple adjectives
 - multiple double arrow edges multiple edges with double arrows



• Minimize number of commas



- Minimize number of commas
 - Based on the observed data, considering the efficiency, we decided to approximate the values
 We decided to approximate the values for efficiency based on the observed data



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 - Based on the observed data, considering the efficiency, we decided to approximate the values
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- Invert sentences to maintain flow and continuity
 - ► The flow function $\delta(p, q)$ relates memories at two consecutive program points. We define a summary flow function $\Delta(p, q)$ for relating memories of program points p and q that are farther away. The flow function $\delta(p, q)$ relates memories at two consecutive program points. For relating memories of program points p and qthat are farther away, we define a summary flow function $\Delta(p, q)$.



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 We decided to approximate the values for efficiency based on the observed data
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 - The labels a and b are associated with nodes l and r respectively, in each case, and are computed as follows.
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Good Writing is an Art

- Rules are not absolute
- Break rules if you must, but understand why it is necessary
- How to be a good writer? Read a lot
 - Best resource: "Elements of Style" by Strunk and White
 - Plenty of help available on Internet





A Checklist: Idea and Lessons

- Are the ideas in the paper new? How do you know?
- Can you state the new ideas concisely?
- What exactly is the problem being solved?
- Are the ideas significant enough to justify a paper?
- Is the work described significantly different from the related work?
- Are comparisons with previous work clear and explicit?
- What is the oldest paper you referenced? The newest?
- What have you learnt from the work?
- What should the reader learn from the paper?
- How generally applicable are these lessons?

A Checklist: Choices and Context

- What were the alternatives at various points? Why were particular choices made?
- Did the choices turn out to be right? Could some other choices have been better?
- What are the assumptions on which the work is based? Are they realistic?
- How sensitive is the work of minor variations in these assumptions?
- If a model is presented, does it give new insights?



A Checklist: Focus and Presentation

- Does the introductory material contain almost everything that is required to understand the paper?
- Does the introductory material contain anything extra that is not required?
- Are the ideas organized and presented in a clear and logical manner?
- Are terms defined before they are used?
- Are forward references kept to a minimum?
- Have alternative organizations been considered?
- Does the abstract convey important ideas?
- Does the conclusion contain lessons learnt?



A Checklist: Writing Style

- Is the writing style clear and concise?
- Are words spelt and used correctly?
- Are the sentences complete and grammatically correct?
- Does each paragraph represent a well identified idea?



The Final Advise

• After you have done all of the above,



37/52

The Final Advise

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- be prepared to revise the paper after it is rejected



37/52

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The Final Advise

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- be prepared to revise the paper after it is rejected
- Rejection is a more probable reality
- Most good papers have their initial versions rejected
- · Analyse dispassionately why reviewers could not see your view point



Part 2

So You Want to Do Ph.D.?

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Outline

- Specific questions and dilemmas about Ph.D.
- Based on actual questions asked by audience in past



On the Role of Ph.D. in Teaching

 $\mathsf{Q}\xspace$ Is Ph.D. necessary for teaching?



On the Role of Ph.D. in Teaching

- $\mathsf{Q}\xspace$ Is Ph.D. necessary for teaching?
- A Ph.D. is not a degree, Ph.D. is a state of mind
 - A state in which
 - one does not feel threatened by the unknown
 - one derives immense satisfaction in a journey from known to unknown
 - one may depend on others for questions, but not necessarily for answers
- A Ph.D. is attainment of a certain level of maturity of ones mental faculties
- A Ph.D. enhances quick self learning abilities
- A Ph.D. makes a person a much better learner



On Financial Prospects After Ph.D.

 ${\sf Q}~$ Why is there so much of a difference between salaries in academia and in the industry? Isn't it unfair?


On Financial Prospects After Ph.D.

- ${\sf Q}~$ Why is there so much of a difference between salaries in academia and in the industry? Isn't it unfair?
- A The product $\operatorname{Salary} \times \operatorname{Freedom}$ is constant
- A If you want nearly infinite freedom, you will get nearly zero salary
- A If you want nearly infinite salary, you will get nearly zero freedom
- A It is for you to decide where you want to be on the spectrum



On Deciding a Research Area

 ${\sf Q}~$ Should I do research in the knowledge domain that I am familiar with or should I work in a "hot" area?



On Deciding a Research Area

- ${\sf Q}~$ Should I do research in the knowledge domain that I am familiar with or should I work in a "hot" area?
- A In general it is easier to work in an area in which you have
 - a good background and good insights
 - personal interest
- A What matters is the group with which you work. Having people with whom you can discuss your work is a very big advantage.
- A Expertise of your guide is an important consideration.



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- Q Once the research area is finalized, how should one identify specific research problems?
- A Read research papers in well known journals. Be selective about conference proceedings. Try to find scope for improvement in the papers you read.
- A Attend good conferences. Talk to people.
- A Interact with known researchers working in that area. Visit their web pages, contact them over email. Ask them what they are working on and why.



On Deciding Ph.D. Topic

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- A Find out if the conference has a reasonable review process.
- Q How to do literature survey on the finalized area of interest? Are there any thumb rules or guidelines for selecting number of papers for literature survey?
- A Read research papers in relevant journals/conferences of repute.
- A There is no thumb rule for number of papers.



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And that cat doesn't meow!

Further, there may be no cat!



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Q How does one define a Ph.D. problem?

- A In general, it is preferable to let the problem definition evolve over time. Some times the problem may turn out to be much harder than anticipated while some times it might be too easy.
- A Begin with a tentative problem. It is best to let the scope and the depth emerge naturally as one's study matures.
- A Interacting with an active group, asking for sub-problems that could contribute to the research goals of the group is a big help.



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Fixing a problem and then seeking guidance for Ph.D. on that problem.



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Fixing a problem and then seeking guidance for Ph.D. on that problem.

Fixing a problem only shows your initiative and abilities. However:

- your perception of relevance or significance of the problem may be flawed.
- If your problem fits into a broader research agenda, there are better prospects.
- Prospective guides have their own research agendas and are busy. Your problem must be very very attractive for them to deviate from their existing commitments.



 ${\sf Q}~$ How do I identify the right guide for my Ph.D.?



Q How do I identify the right guide for my Ph.D.?

- A Find out someone who is actively working in that area. Find out from others how well is she/he known in the area.
- A Find out someone who can give a lot of time to you.
- A Find out someone with whom you are very comfortable.



 ${\sf Q}~$ Does research (Ph.D.) starts with proof of concept? How does one define proof of concept? How does one create it?



- Q Does research (Ph.D.) starts with proof of concept? How does one define proof of concept? How does one create it?
- A Proof of concept refers to a demonstration of the validity, meaningfulness, or usefulness of an idea. It could be in terms of an implementation, a conceptual reasoning, or a design of a solution.
- A Ph.D. begins with questions, proof of concept tries to validate possible answers. So it comes somewhere in the middle (or even at the end) of a Ph.D.



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- Q How to identify the requirements needed? Does it have relation with problem defined? How to extract requirements such as tools, databases, methods and technology from the problem definition?
- A Requirements spring up in the course of discovery. It is very hard to specify requirements a priori. Some broad and general requirements may be easy to visualize at the start.
- A Ph.D. is a game of ideas. It is not a game of technology. Even an experimental Ph.D. begins with a speculation.What is common to all Ph.D.'s is a cycle of:

Speculate, design, apply/perform experiment, observe, interpret, infer and repeat.



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- Q When can I conclude that my Ph.D. is over?
- A When you find that after you give talks on your work, people feel you have done something interesting.



 ${\sf Q}~$ What are the common problems all Ph.D. students face?



- ${\sf Q}~$ What are the common problems all Ph.D. students face?
- A Difficulty in dealing with uncertainty.
- A Keeping up motivation.
- A Dealing with disappointments.
- A Realizing the importance (or unimportance) of particular ideas/developments.

Common problems across most human pursuits!



Last But Not the Least

Thank You!



Last But Not the Least

Thank You!

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